# X-Ray Free-Electron Lasers: An Introduction

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Stanford University

## **X-ray Free Electron Lasers**

- FLASH (Germany) 2005, LCLS (US) 2009, FERMI (Italy), SACLA (Japan), PAL (Korea), SwissFEL (Switzerland), European XFEL (Germany), SHINE (China)
- FLASH and FERMI are soft/XUV, all others have hard x-ray capabilities









## **Evolution of X-Ray Sources**



**Typical XFEL Performance – LCLS**

- § **3 mJ/pulse (2×1012 10 keV photons)**
- <100 femtosecond long pulses
- § **120 pulses/second**
- Full transverse coherence (it is a **laser)**
- Stochastic energy spectrum with **0.1% width**

#### Light source advances enable:

- $\triangleright$  X-ray measurements of ultrafast behavior
- $\triangleright$  Coherent scattering studies of atomic structure and dynamics

These allow development of new analysis techniques and deeper insight into fundamental processes.

## **Key Properties of Photon Beams**





## **Creating Hard X-Ray Beams at the Diffraction Limit**

Why: At the diffraction limit, the x-rays are almost completely transversely coherent. Coherent x-ray scattering techniques can yield unique structural information.

Look at the ESRF-EBS

- Horizontal emittance of 110 pm-rad yields a diffraction limited wavelength of ≈13.8Å.
- Vertical emittance of 5 pm-rad yields a diffraction limited wavelength of ≈0.6Å.

*Even the best of the current generation of storage ring sources don't reach the full diffraction limit for typical hard x-ray experiments.*

$$
\epsilon = 2\pi \sigma_x {\sigma_x}'
$$

 $\lambda$ 

$$
= \frac{\pi}{4\pi}
$$

$$
= \frac{0.1 \ nm}{4\pi} = 8 \ pm\text{-}rad
$$





The emittance is an equilibrium property in a storage ring driven by quantum fluctuations (the emission of x-ray photons). For a storage ring, the emittance scales like:

$$
\mathcal{E}_\mathsf{x} \propto \mathsf{E}^2 \theta^3
$$

Where:

E = Electron Energy

 $\theta$  = Angular deviation per period

Nuclear Instruments and Methods in Physics Research A264 (1988) 497-501 North-Holland, Amsterdam

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HIGH ENERGY ELECTRON ACCELERATOR BASED SYNCHROTRON RADIATION SOURCES P.H. FUOSS

AT&T Bell Laboratories, Crawfords Corner Road, Holmdel, NJ 07733, USA

Received 5 May 1986 and in revised form 28 July 1987

This paper discusses the possibility of using electron linear accelerators as picosecond synchrotron radiation sources. Calculations presented show that the Stanford Linear Collider could exceed the spectral brilliance of existing storage rings (although at a much lower total flux) while producing 3 ps long pulses at a repetition rate of 180 pulses per second. Higher performance sources might be feasible but would require major modifications of existing linear accelerators or the development of new facilities.



## **A Brief History: Invention of the Free Electron Laser**

#### **John Madey at Stanford University first proposed a free-electron laser (FEL) 50 years ago in the Journal of Applied Physics**

Early FEL development focused on producing infrared and visible light.

Advances in theory and accelerator technology led to proposals in the early 90's for x-ray FEL's.

**JOURNAL OF APPLIED PHYSICS** 

VOLUME 42, NUMBER 5

APRIL 1971

#### Stimulated Emission of Bremsstrahlung in a Periodic Magnetic Field

JOHN M. J. MADEY

Physics Department, Stanford University, Stanford, California 94305 (Received 20 February 1970; in final form 21 August 1970)

The Weizsäcker-Williams method is used to calculate the gain due to the induced emission of radiation into a single electromagnetic mode parallel to the motion of a relativistic electron through a periodic transverse dc magnetic field. Finite gain is available from the far-infrared through the visible region raising the possibility of continuously tunable amplifiers and oscillators at these frequencies with the further possibility of partially coherent radiation sources in the ultraviolet and x-ray regions to beyond 10 keV. Several numerical examples are considered.



## **A Brief History: Invention of the Free Electron Laser**

**Particle Accelerators** 1980 Vol.10 pp.207-216 0031-2460/80/1003-0207\$06.50/0 © Gordon and Breach, Science Publishers, Inc. Printed in the United States of America

#### **GENERATION OF COHERENT RADIATION BY A RELATIVISTIC ELECTRON BEAM IN AN ONDULATOR\***

A. M. KONDRATENKO and E. L. SALDIN

Institute of Nuclear Physics, 630090, Novosibirsk, USSR

(Received January 28, 1980)

A detailed study of the self-modulation of a relativistic electron beam in an ondulator in the single-pass regime is carried out. Beam-parameter conditions are obtained under which the radiative instability in question occurs. The possibility of constructing a source of coherent radiation based on this principle is discussed. The radiation specifications of such a source are analyzed. Control the mass of longitudinal motion with the help of an additional longitudinal magnetic field introduced in the ondulator is discussed. Numerical examples are given for sources of submillimeter and infrared-range radiation.

Volume 50, number 6

OPTICS COMMUNICATIONS

15 July 1984

#### **COLLECTIVE INSTABILITIES AND HIGH-GAIN REGIME IN A FREE ELECTRON LASER**

R. BONIFACIO \*, C. PELLEGRINI National Synchrotron Light Source, Brookhaven National Laboratory, Upton, NY 11973, USA

and

#### L.M. NARDUCCI

Physics Department, Drexel University, Philadelphia, PA 19104, USA

Received 5 April 1984

We study the behavior of a free electron laser in the high gain regime, and the conditions for the emergence of a collective instability in the electron beam-undulator-field system. Our equations, in the appropriate limit, yield the traditional small gain formula. In the nonlinear regime, numerical solutions of the coupled equations of motion support the correctness of our proposed empirical estimator for the build-up time of the pulses, and indicate the existence of optimum parameters for the production of high peak-power radiation.





#### SL

Eur. Phys. J. H DOI: 10.1140/epjh/e2012-20064-5

**THE EUROPEAN** PHYSICAL JOURNAL H

#### The history of X-ray free-electron lasers

C. Pellegrini<sup>1,2,a</sup>

<sup>1</sup> University of California at Los Angeles, Los Angeles, 90095-1547 California, USA <sup>2</sup> SLAC National Accelerator Laboratory, Menlo Park, 94025 California, USA

> Received 7 December 2011 / Received in final form 16 March 2012 Published online 19 June 2012 © EDP Sciences, Springer-Verlag 2012

#### Introduction to Free Electron Lasers

Andy Wolski The Cockcroft Institute, and the University of Liverpool, UK CAS: Introduction to Accelerator Physics Prague, Czech Republic September 2014

#### Free-Electron Lasers

https://indico.cern.ch/event/983080/contributions/4140483 /attachments/2201441/3723528/Lecture\_FELs.pdf

#### **Electrons in an Undulator**

FEL theory takes into consideration the dynamics of the electrons due to the co-propagating electric field as well as that of the undulator B field



$$
B_y = B_0 \sin(k_u z)
$$

$$
k_u = \frac{2\pi}{\lambda_u}
$$

 $\dot{x}(z) = \frac{eB_0}{\gamma m_e c} \frac{\cos(k_u z)}{k_u} = \frac{K}{\gamma} \cos(k_u z)$  $x(z) = \frac{K}{\gamma k_{\nu}} \sin(k_{u}z)$ 

$$
\ddot{x}(z) = \frac{d^2x}{dz^2} = -\frac{eB_0}{\gamma m_e c} \sin(k_u z)
$$

**Transverse** 



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### **Electrons in an Undulator**

Longitudinal velocity

$$
\bar{v_z} \approx c \left( 1 - \frac{1}{2\gamma^2} \left( 1 + \frac{K^2}{2} \right) \right)
$$

$$
x(t) = \frac{K}{\gamma k_u} \sin(\omega_u t)
$$

$$
z(t) = \overline{v}_z t - \frac{K^2}{8\gamma^2 k_u} \sin(2\omega_u t)
$$

$$
(\omega_u = ck_u)
$$

Putting this electron in a constant electric field:

$$
E_x(z, t) = E_0 \cos(k_r z - \omega_r t + \psi_0)
$$

 $\psi_0$  is an initial phase offset and  $\omega_r = c k_r$ 

Electron energy change within the co-propagating electric field

$$
\frac{dW}{dt} = -ev_x(t)E_x(t) = -e\frac{cK}{\gamma}\cos(k_u z)E_0\cos(k_r z - \omega_r t + \psi_0)
$$

$$
-\frac{eckE_0}{2\gamma}[\cos((k_r + k_u)z - \omega_r t + \psi_0) + \cos((k_r - k_u)z - \omega_r t + \psi_0)]
$$

$$
\frac{dW}{dt} = -\frac{eckE_0}{2\gamma} [\cos(\psi(t)) + \cos(\chi(t))]
$$

We want the electrons to give up energy to the electric field

Much math and change of reference frame to find the electron energy gain/loss as a function of its position in the undulator

$$
\frac{d\psi}{dt} = 2k_u c\eta
$$

$$
\frac{d\eta}{dt} = -\frac{eKE_0}{2\gamma_r^2 m_e c} \cos(\psi(t))
$$

 $\eta$  relative energy deviation of the electron

**Pendulum Equations**

### **Electrons in an Undulator – low gain regime**

If the electrons are tuned to the resonant undulator frequency with a homogenous phase distribution, equal numbers of electrons gain and lose energy. Detuning the electron energy breaks the symmetry and net energy can be transferred from the electrons to the field



## **High Gain FELs**

#### High Gain Theory considers:

- Growth of the co-propagating electric field along the undulator
- Microbunching of the electron bunch due to electron energy modulation at the resonant wavelength

Much more complicated math

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Some parameters and terminology

- Gain Length
- FEL (Pierce) Parameter
- Saturation

The process of microbunching, exponential gain and saturation to create photon bunches is referred to as Self-Amplified Spontaneous Emission (SASE)



undulator length

### **Technical Challenges for an FEL**

- A very high electron density is required to drive the SASE process.
	- This requires an electron gun with a very low electron emittance.
	- Periodic bunch compression is required to produce the extremely short electron pulses are needed.
- High electron density pulses have many instabilities that need to be controlled. Laser heaters can be used to destroy correlations.
- The magnetic properties of the undulator need tight tolerances to keep the photon and electron beams overlapped.
- Optics have to be able to handle high instantaneous photon power.
- Long undulators, FELs require up to 20 gain lengths to reach saturation
- The femtosecond long pulse is triggered two miles from the experiment. Precise timing synchronization is challenging.

### **Photoinjector**

• the photoinjector was the largest portion of the LCLS R&D budget







### **Undulators**



### **When to use an FEL?**

#### **High Peak Brightness**

- Radiation sensitive samples
	- Diffract and Destroy
- Non-linear phenomena



#### **Short Pulses**

- Time-resolved dynamics
	- Fs to ns dynamics
- typical pulse is 30fs



#### **Coherence**

- Fully coherent laser source
- Coherent techniques (XPCS, CDI)



PRL **108**, 024801 (2012) Rev. Sci. Instrum. **87**, 103701 (2016)

### **LCLS Structural Biology**



### **LCLS Chemistry**

*K. Haldrup, et al., Phys. Rev. Lett. 122, 063001 (2019).*

#### **SLAC**



*T.B. van Driel, et al., Nature Comm. 7, 13678 (2016)*

### **LCLS Materials Science**

#### **SLAC**





#### **e-ph Coupling in FeSe Superconductor**



**Ultrafast Disorder in Insulator-Metal Transition**





### **LCLS instruments**

#### **LCLS – 8 X-ray instruments**

- 2 soft x-ray hutches
- 1 Tender X-ray instrument (2keV-7keV)
- 5 hard X-ray instrument
- 1 ultrafast electron source





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Because it is a linear source, often only one experiment runs at a time!

## **LCLS soft x-ray instruments**

## Time-resolved AMO (TMO)

- Quantum Systems in strong fields laser induced Extreme Environments
- Molecular reaction microscope

## **ChemRIXS**

• Photochemistry - photocatalysis



## qRIXS

• Quantum materials – strongly correlated systems

## **LCLS hard x-ray instruments**

#### X-ray Pump Probe (XPP)

Materials science, hard condensed matter

#### X-ray Correlation Spectroscopy (XCS)

• X-ray correlation spectroscopy, soft condensed matter, solution phase chemistry

#### Macromolecular Femtosecond Crystallography (MFX)

• Biology at ambient conditions

#### Coherent X-ray Imaging (CXI)

• In-vacuum forward scattering instrument – Vacuum biology, gas phase photochemistry, non-linear x-ray science



#### Matter in Extreme Conditions (MEC)

• Warm dense matter, high pressure, shock physics, imaging, fusion

### **Pump - Probe**

- Well over half of LCLS experiments are laser pump x-ray probe
- Timescales for which FELs are very suited fs-ns



**Pump-Probe : evolution of relative signal with X-ray probe at different time delays Δt after excitation (probe)**

#### Lasers provide a precise t0



Young, Linda, et al. "Roadmap of ultrafast x-ray atomic and molecular physics." *Journal of Physics B: Atomic, Molecular and Optical Physics* 51.3 (2018): 032003.

-SL A

#### **Pump - Probe**

Jitter in both the laser pump and x-ray probe signal causes ultrafast signal to be washed out. A timing diagnostic (timetool, arrival time monitor) is used to precisely measure (~20fs) the actual Δt between pump and probe



Different processes can be excited by different laser wavelengths – UV (bond dissociation), visible (biological processes), IR (heating), THz (lattice motion), etc



### **Diffract and Destroy, Serial experiments**

- Full flux FEL beams can destroy most samples
- The short pulse duration of a single FEL pulse means that the diffracted data is acquired before the sample is destroyed "diffract and destroy"
- FEL data is radiation damage free
- Counterintuitively, the more radiation damage sensitive a sample, the better suited it is for the FEL
- Need lots of sample serial data



## **Sample delivery**

Different types of sample delivery for gases, liquids and solids to replenish the sample with each FEL pulse

- Liquid jets
- Gas cells
- Fast scanning fixed target stages









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#### **Detectors**

Almost every FEL has detectors that are optimized for their facility. This is totally unlike the case for storage ring experiments.

Also, because of the nature of FELs, one cannot in general either:

- Use signal averaging on the detector
- Do single photon analysis

Since the detectors are all developed locally, all have different software, and all have different idiosyncrasies.

Most likely your experiment will fail if you don't pay careful attention to the detector.





## **Data Analysis: Normalizing, Filtering, Binning**



● **Each X-ray pulse fluctuates in many way**





● **Diagnostics are critical**







## **Radical enzyme system initiating DNA synthesis**



H. Lebrette et al. Science 382,109-113 (2023). DOI:10.1126/science.adh8160.

The research team observed the atomic structure of a radical enzyme involved in ribonucleotide reduction, an essential step in DNA synthesis.

The ultrafast pulses from the LCLS outrun the effects of x-ray radiation damage, making it possible to image intact radicals inside of an enzyme for the first time.

- Small enzyme crystals were investigated at the LCLS Xray free electron laser and synchrotrons (Swiss Light Source and SOLEIL)
- The radical-lost state (captured at the synchrotron) was compared to the active radical state, imaged with the femtosecond pulses coming from LCLS.
- The active radical state structure reveals how the radical is stabilized in the enzyme

<u>SL AC</u>

### **Photosynthesis**



**Multi-modal experiments (Serial Femtosecond X-ray Crystallography and X-ray Emission Spectroscopy) can capture the atomic motions and electronic state of photosystem reaction intermediates**

- LCLS has supported a scientific campaign led by the Yano group (Lawrence Berkeley National Lab) and collaborators to map the photosystem II dynamics along the Kok cycle
- The team captured the insertion of the water substrate  $(S_2$  to  $S_3)$ , the release of  $O_2$ , and the reset of the catalytic  $Mn_4CaO<sub>5</sub>$  cluster  $(S_3$  to  $[S_4] \rightarrow S_0$

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### **Transition States in Heterogeneous Catalysis**

- Short-lived transition states determine the kinetics of a chemical reaction, and are key to understanding reactivity
- Ultrafast X-ray absorption spectroscopy can probe the electronic structure of species in the transition state region with elemental specificity
- Öström *et al.* probed the catalytic CO oxidation reaction on a ruthenium surface on an ultrafast time scale, following the evolution of the unoccupied valence electronic structure around the adsorbed O and CO after laser excitation
- Applications
	- Capturing short-lived transition states
	- Studying surface chemistry in heterogeneous catalysis



Time-dependent changes in the electronic structure, capturing activation, collision and the formation of a transition state.

**Resonant inelastic X-ray scattering at the Soft X-ray Research (SXR) instrument of LCLS**

*Öström et al.* (2015) *Science* 347: 978 – 982.

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### **Free radicals at the shortest timescales**

- Free radicals are critical in environmental remediation and nuclear waste processing, but studying them is challenging due to their short lifetime
- Resonant inelastic X-ray scattering can identify local, ultrafast π→σ transitions, which are masked in conventional UV spectroscopy
- Kjellson *et al.* identified the fingerprint of an aggressive oxidizing chemical by revealing the hidden signature of short-lived hydroxyl free radicals in water
- Applications
	- Capturing the evolving electronic structure and reactivity of free radicals in aqueous and heterogeneous environments
	- Investigating the electronic and magnetic properties of materials

Emission signal of hydroxyl radical, carrying the unique chemical fingerprint.

#### **Resonant inelastic X-ray scattering at the Soft X-ray Research (SXR) instrument of LCLS**

Kjellsson *et al.* (2020) *Phys Rev Lett* 124: 236001.





## **Plasticity formation and dislocation effects**

- Understanding plasticity is crucial for applications such as highperformance ceramics
- Ultrafast electron diffraction can resolve the lattice dynamics of materials under high strain rates
- Mo *et al.* gained an understanding of the dislocation nucleation and transport processes during plasticity formation in shock-wave compressed aluminum
- Applications
	- Characterizing materials processing and additive manufacturing processes
	- Predicting dynamic material strength and designing stronger materials



**Time-resolved electron diffraction at the MeV-UED instrument**

Mo *et al.* (2022) *Nat. Comm.* 13, 1055.





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## **Time-resolved in situ imaging of a catalytic particle**

- Structural inhomogeneities in zeolites are known to be important but their evolution is often poorly understood
- Time-resolved coherent X-ray diffraction imaging provides information about the particle shape and the kinetics of atomic displacement
- Kang *et al.* identified an unusual strain distribution during catalytic deoxygenation of  $NO<sub>x</sub>$  with propene, caused by interactions between the reactants and the active sites
- Applications
	- Designing crystalline nano-catalysts with tunable properties
	- Understanding physical phenomena in additive manufacturing



Dynamic strain maps of operating nano catalysts.

**Coherent X-ray Diffraction Imaging (CDI) at the X-ray Correlation Spectroscopy (XCS) instrument of LCLS**

Kang *et al.* (2020) *Nat. Comm.* 11, 5901.

### **LCLS – Linac Coherent Light Source**

**At the beginning of 2019, almost 10 years after 1st light, LCLS began a major upgrade, the LCLS-II project.** 

The first stage of that upgrade, the installation of new undulators, was completed in 2021.

**The second stage, the superconducting linac, began operations late last year.**

**Currently in commissioning and early science for the soft X-ray and Tender instruments: TMO, chemRIXS, qRIXS**

**TXI still under construction**

#### **Phase 1: 2020**

- **2 LCLS-II variable gap undulators**
- 0.25 to 25 keV (fundamental) at 120 Hz
- XLEAP pulse(s) at 200-400 attoseconds

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• 4 pulses at 0.35 ns to >500 ns separation

#### **Phase 2: 2023**

- **LCLS-II 4 GeV CW SCRF accelerator**
- 0.25 to 5 keV at 1 MHz (CW, programmable)
- 5 new endstations

#### **Phase 3: 2027/8**

- **LCLS-II-HE 8 GeV CW SCRF accelerator**
- 0.25 to >15 keV at 1 MHz
- 5 new or upgraded endstations
- Reconfiguration to increase experimental capacity

#### **Phase 4: 2030/31**

- **MEC Upgrade**
- 1 PW at 10 Hz, plus 1 kJ
- Dedicated experimental cavern

**LCLS-II**

**Cryoplant commissioning has started**



**Injector operating**



**All cryomodules installed and welded**

**32 hard X-ray undulators New Front End Enclosure**

### **LCLS-II (HE) Science Case**



Demonstration to Application Model Systems to Real World Systems

- Complex
- Varied

# **LCLS-II (HE) Science Case – molecular photodynamics**





Current limitations:

- Time and spatial resolution
- Sample densities vapor pressure  $\bullet$
- Quantum yield  $\bullet$

- UV irradiation causes covalent bonds creating cross-links between the thymine bases.
- Can cause DNA to improperly replicate or transcribe, potentially leading to genetic mutations and cancer



- Too complicated for quantum chemical modeling methods
- Soft X-ray spectroscopy found the lifetime of this state is <100fs but structure elucidation is unknown
- HE would allow for the study of the intermediates states of this low quantum yield, complex reaction

## **LCLS-II (HE) Science Case – metalloenzymes**

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Dynamics with SFX

- Photo-initiated processes (pump-probe)
- **Mixing**





Limitations

- limited time points
- single conformations
- dynamics with large structural differences

Metalloenzymes – biological catalysts with a metal species are particularly susceptible to radiation damage at the metal site which is critical for its function

- Photosynthesis
- Nitrogen fixation

Nitrogenase – converts N2 to ammonia (NH3) which is bioavailable - an energy efficient enzyme in contrast to energy intensive industrial processes which to produce ammonia for fertilizer

LCLS-II-HE will allow for the study of complex systems such as nitrogenase



## **Opportunities for Early Career Scientists**

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In addition to normal graduate and post-graduate research positions, there are also a few highly competitive prestigious early-career fellowships awarded each year.

#### Details can be found on the SLAC website:

#### **https://careers.slac.stanford.edu/**

**NXS Lecture - Mengning Liang:** "Introduction to X-ray Free **Electron Lasers"** 



#### **Panofsky Fellowship Overview**

The Panofsky Fellowship honors SLAC National Accelerator Laboratory's founder and first Director, Wolfgang K. H. Panofsky. It is intended to recognize exceptional and promising early career scientists who would most benefit from the unique opportunity to conduct their research at SLAC National Accelerator Laboratory. While the scientific direction of the candidates is expected to have some overlap with existing programs, an emphasis will also be placed on the potential for innovation and growth of new opportunities, aligned with the overall mission and values of the laboratory, as their career develops. The intent is to foster the creativity and high achievement to which W.K.H. Panofsky devoted himself both as a researcher and as a visionary leader in enabling fundamental science research.

The Fellowship celebrates W.K.H. Panofsky's breadth of activities and is awarded without regard to a candidate's particular specialty within our programs. The candidate's research plan should encompass one or more areas within the general scope of the science program at SLAC:

- Accelerator science & advanced accelerator research
- Applied Energy research
- · Biosciences
- Chemical science
- Computer science
- Elementary particle physics
- High energy density matter
- Material and condensed matter science
- Particle astrophysics and cosmology
- X-ray science, including ultrafast science and advanced X-ray instrumentation, at LCLS and SSRL

Applicants are encouraged to contact OCRO@slac.stanford.edu to find out the leads of a program to find out more details about the program and the context for developing their proposed research plan as a Panofsky Fellow.

