

#### SYNCHROTRON RADIATION: PRODUCTION & PROPERTIES



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National School for Neutron and X-ray Scattering June 2019

# **PROPERTIES OF THE X-RAYS**



#### RADIATION PATTERNS FROM ACCELERATING CHARGES

#### Definitions:

 $\beta = v/c$  $\gamma = 1/\sqrt{1-\beta^2} = E/m_oc^2$  When v << c, ( $\beta \approx 0$ ), the shape of the radiation pattern is a classical dipole pattern.

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But as  $\beta$  approaches 1, the shape of the radiation pattern changes; it is more forward directed

$$\tan\left(\psi\right)_{lab} = \frac{\sin\psi}{\gamma\left(\cos\psi + \beta\right)} = \frac{1}{\gamma\beta} \approx \frac{1}{\gamma}$$
 Lorentz transformation



The angular divergence of the radiation (sometimes called the opening angle),  $\Delta \psi$ , **is approximately 1**/ $\gamma$ .

#### **RADIATION FROM HIGHLY RELATIVISTIC** ( $\gamma >>1$ ) **PARTICLES** $\beta \approx 0$ $\beta \approx 1$



 $\Delta \psi \approx 1/\gamma$ 

At the APS with 
$$E = 7 \text{ GeV}$$
,  
 $\gamma = E/m_o c^2 = 7 \text{ GeV}/0.511 \text{ MeV}$   
 $\gamma = 1.4 \times 10^4$   
 $1/\gamma = 73 \times 10^6$ 

See Appendix 1 for more details

The opening angle in both the horizontal and vertical directions is given approximately by:

 $\Delta \psi_{\text{vert}} = \Delta \psi_{\text{hor}} \approx 1/\gamma,$ 

when  $\beta \approx 1$ . (@ APS  $\beta \approx 1-2 \times 10^{-9}$ )

Relativistic velocities are good!!

- radiation forward directed
- <sub>4</sub> radiated power  $\propto E^4$



#### **ANATOMY OF SYNCHROTRON RADIATION FACILITY**





#### **BEND MAGNET X-RAY RADIATION PROPERTIES**



You get this for "free" since you need the bending magnets to keep the electrons orbiting in the storage ring.

#### **Bend Magnet Radiation**

Spectrum characterized by the critical energy:

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E_c[keV] = 0.066 B[kG] E<sup>2</sup> [GeV] (E<sub>c</sub> ≈ 20 keV @ APS)
Recall: \lambda[Å] = 12.4/E[keV] so 20 keV is 0.62Å
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Vertical opening angle ( $\Delta \psi_{v}$ ) is 1/ $\gamma$ . At the APS:

 $\gamma = 1.4 \times 10^4$ 

See Appendix 2 for more details

so  $1/\gamma = 73 \times 10^{-6}$  radians



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# PLANAR INSERTION DEVICES

- Insertion devices (IDs) are periodic magnetic arrays with alternating field directions that force the particles to oscillate as they pass through the device.
- "Planar" refers to the magnetic field being in one direction (in this case vertical or y-direction).
- IDs can have fields in both the vertical and horizontal directions to produce circularly polarized x-rays and for other applications.





### **CHARACTERIZING INSERTION DEVICES**

IDs are characterized by the so-called field index or deflection parameter, K

 $K = eB_o\lambda_{ID}/2\pi m_o c = 0.0934 \lambda_{ID}[cm] B_o[kG]$ 

where  $\lambda_{ID}$  is the magnetic period of the insertion device and  $B_o$  the peak magnetic field. (The length of the insertion device, L, is equal to the number of periods, N, times the length of the period, i.e., L =  $N\lambda_{ID}$ .)

• The maximum deflection angle of the particle beam,  $\theta_{max}$ , is given by:

$$\theta_{max} = \pm (K/\gamma)$$

and the amplitude of the oscillation of the particles,  $x_{max}$ , by:

$$x_{max} = (K/\gamma)(\lambda_{ID}/2\pi)$$

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APS Undulator A has a period of 3.3 cm and operates with  $K \approx 1$ , therefore:

$$\theta_{\rm max} \approx 1/\gamma$$

$$x_{max} \approx 0.38$$
 microns.

Important thing here is K is

proportional to mag field, B.





#### WIGGLER RADIATION PATTERN & SPECTRUM



#### Wiggler Radiation (K>>1)

- θ<sub>max</sub> = (K/γ) >> 1/ γ, i.e. the angular deflection of the particle beam is much greater than the natural opening angle of the radiation (1/ γ).
- Spectrum characterized by the critical energy (same formula as the bending magnet critical energy).
- Presently, there are NO planar wigglers installed at the APS because they lack the brightness of undulators. (see next slides)

$$\theta_{max} = (K/\gamma) >> 1/\gamma,$$



# UNDULATORS

Undulators (K  $\approx$  1)

- If the magnetic field is carefully designed, this overlap can cause interference effects in the spectral distribution.
- On-axis (ψ<sub>v</sub> = ψ<sub>H</sub> = 0), the constructive interference occurs at a particular x-ray wavelength (λ<sub>n</sub><sup>x-ray</sup>) and its odd harmonics, i.e. n = 1,3, 5... when:



- The wavelength,  $\lambda_n^{x-ray}$ , where constructive interference occurs, can be adjusted by varying the magnet field, B, of the undulator since K = 0.093  $\lambda_{ID}$ [cm] B<sub>o</sub>[kG].
- Since most undulators are made with permanent magnets, the B-field is changed by changing the gap between the magnets.

#### APS 2.4 m long Undulator A ( $\lambda_{ID}$ = 3.3 cm) Permanent magnets





 $\theta_{max} = (K/\gamma) \approx 1/\gamma,$ 





 $\Delta \psi_{\mathsf{V}} = \Delta \psi_{\mathsf{H}} \approx (1/\gamma) [1/\mathsf{N}]^{\frac{1}{2}}$ 

where N = number of periods [typically 100 or so] ], therefore the angular divergence of the undulator first harmonic is  $\approx$  one tenth that from a bending magnet source – a few microradians.  $\lambda_n^{x-ray} = (\lambda_{ID}/2\gamma^2 n)(1 + \mathbf{K}^2/2)$ 

To get the opening angle of the emitted radiation **observed by the experimenter**, you need to consider the angular properties of the emitting electrons (more later on!), not just the properties of the radiation itself.



### **TUNING THE PEAKS OF UNDULATOR RADIATION**

What is typically shown for the output of an undulator is not the spectrum at one gap setting but rather the energy range that can be covered over the available from the minimum gap.



#### THE DIFFRACTION LIMIT OF RADIATION

• Just as the Uncertainty Principle sets a lower limit for the product of the size  $\Delta x$  and momentum ( $\Delta p$ ), this relationship can be re-written in terms of size  $\Delta x$  and angular divergence  $\Delta x'$  for radiation.

$$\sigma_x \sigma'_x = \Delta x \Delta x' \ge \lambda / 4\pi$$
 and  $\sigma_y \sigma'_y = \Delta y \Delta y' \ge \lambda / 4\pi$ 

- The products of source size and source divergence in the x or y plane is proportional to what is called the emittance (in optics sometimes called etendue).
- So real-world physical light sources exhibit a finite emittance due to diffraction effects.
- The minimum emittance at 1 Å is:

1Å /4 $\pi$  = 10<sup>-10</sup> meters /4 $\pi$   $\approx$  8 x 10<sup>-12</sup> m or

8 picometers – radian (radians are dimensionless)

See Appendix 4 for more details

APS Undulator A has a length of 2.4 meters. For 1 Å radiation the natural opening angle is:

primes are used to denote

angle coordinates

$$\sigma'_{r} = 1/\gamma (1 / N)^{1/2}$$

$$\sigma'_r = [\lambda/2L]^{1/2} = 4.5x10^{-6} rad$$

The corresponding source size of the radiation is:

 $\sigma_{\rm r} = [\lambda L/8\pi^2]^{1/2} = 1.7$  microns.



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# **PROPERTIES OF THE ELECTRON BEAM**



# **PULSE DURATION & TIME STRUCTURE**

- Because the electrons are radiating x-rays, they are constantly losing energy, and so to restore the energy loss on each revolution, radio-frequency (RF) resonant cavities are installed in the storage ring to replenish the radiative energy losses.
- Particles are grouped together by the action of the radio-frequency (RF) cavities into bunches. At APS:
  - 1104 m circumference (3.68 microsecond period)
  - there are 1296 evenly spaced "RF buckets" (stable orbit positions") around the ring
  - the bunch length of the electron packet is about 3 cm in length, corresponding to a **pulse duration** of about 100 psec
- Details of the **time structure** depends on the fill pattern, i.e. which RF buckets have electrons in them.





### **APS FILL PATTERNS**

#### Fill patterns







 The time structure is determined by which of the rf buckets are filled with electrons.

#### 24 equally spaced bunches (about 4 mA/bunch)

- compromise between quasi-continuous source and pulsed source
- **1 + 8x7** (12-14 mA in one bunch and the rest of the 100 mA distributed in 7 trains of 8 closely spaced bunches)
  - timing experiments
- 324 equally spaced bunches (about 0.3 mA/bunch)
  - approximates a continuous source



# **TRANSVERSE (X & Y) ELECTRON BEAM PROPERTIES**

Although the flux from undulators can be determined without knowledge of the source size and divergence, one very important characteristic of the x-ray beam, namely **brightness**, requires a more detailed knowledge of the particle beam's size and divergence.

Brightness has units of: photons/sec/0.1% BW/source area/source solid angle

Flux/ $4\pi^2 \Sigma_h \Sigma_v \Sigma_h' \Sigma_v'$ 

this is the monochromaticity of the beam

where  $\Sigma_i (\Sigma_i)$  is the **effective** one sigma value of the source size (divergence) in the i<sup>th</sup> direction. If Gaussian distributions are assumed for both the electron beam and the radiation itself, the resultant source size and divergence is the quadrature sum of the two components, namely:



The goal of next generation sources is to reduce the electron beam size and divergence to get brighter beams of x-rays. 17



## TRANSVERSE PROPERTIES OF PARTICLE BEAMS

- When a bunch of electrons are injected into a storage ring, the ensemble of electrons rattles around until it reached its equilibrium values, in both the transverse (perpendicular to propagation) and longitudinal (z) directions.
- Here we are interested in the *transverse* (x and y) properties.
- Accelerator physicists describe the electron beam in terms of its horizontal (x-plane) and vertical (y-plane) position and divergence or phase-space.
- This definition of phase space has as the two conjugate variables

X and X' (or Y and Y').

 The product of X and X' (or Y and Y') is proportional to what is called the horizontal (or vertical) emittance of the electron beam.





Remember: this plot is not the beam crosssection, but a phase space representation!!!



#### **ELECTRON BEAM PHASE SPACE AND EMITTANCE**

There is a separate horizontal emittance (ε<sub>x</sub>) and vertical emittance (ε<sub>y</sub>). Typically in today's storage rings:

 $\epsilon_y$  is 1% to 10% of  $\epsilon_x$  (the percentage is called the coupling)

The emittance is a constant of the storage ring, although one can trade off electron beam size for divergence as long as the area of the phase-space remains constant. So you need to know the electron beam source size and divergence at the spot in the storage ring where the undulator is located and add those values in quadrature with source size and divergence of the radiation.



# **DIFFRACTION LIMITED SOURCES AND COHERENCE**

- If both the horizontal and vertical emittance of the beam were small compared to the radiation emittance, then the x-rays that are emitted would **be fully transversely coherent**.
- But at the APS, it is more like the case shown below where the electron beam emittance is dominant.
- Hence the APS is a partially coherent source at 1 Å.
- Partially coherent sources are sometimes characterized by the coherent fraction, the fraction of the x-ray flux that is coherent.

Coherent fraction = ratio of diffraction-limited emittance to actual beam emittance

 For the APS at 1Å, the coherent fraction is ≈ 10<sup>-3</sup>. This value is marginally good enough for coherencebased experiments so the trend is to try to reduce the particle beam emittance to increase coherence.





### THE DRIVE FOR MORE COHERENT SOURCES

For 1Å (12 keV) x-rays → 8 picometers – radian for fully coherent beam.
 APS operates with:

 $\epsilon_{\rm H}$  = 3 x 10<sup>-9</sup> m-rad or 3000 picometer-radian  $\epsilon_{\rm V}$  = 0.03 x 10<sup>-9</sup> m-rad or 30 picometer-radian

- At the APS, the present magnets that make of the storage ring lattice limit how low the emittance can be.
- Presently there are two approaches to more coherent x-ray sources:
  - Storage rings Multi-bend Achromat (MBA) magnet structures
  - LINACs that satisfy the conditions for a free electron lasers (FELs)



# **NEXT GENERATION LIGHT SOURCES**





### **APS-U: A 42 PICOMETER RADIAN SOURCE**

- The goal of the upgrade is to reduce the electron beam emittance from the current 3000 pm-rad to 42 pm-rad.
- This will result in a diffraction limited source below 3 keV and much higher coherence at higher energies (about a factor of 100).

APS-U will enable multiscale, 3-D exploration of complex materials and chemical systems – may any atom's position, identity and motion.





# SMALL VACUUM CHAMBERS AND SCUs



Existing APS storage ring chamber compared to new 22 mm ID APS-U style chamber

The small vacuum chamber permits the focusing magnets pole pieces (blue in fig. on right) to get closer to the electron beam producing higher magnetic focusing fields



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- There is a strong desire to maintain (or even increase) x-ray energy of the harmonics from the undulators, how can how do we do this when going from 7 GeV to 6 GeV in the upgrade?
- Go to shorter undulator (ID) periods, i.e., reduce  $\lambda_{und}$ .
- That is difficult to do with permanent magnets as there is less room for the magnetic material between the poles but superconducting undulators (SCUs) can.





MBA/SCU

MBA/HPM

APS now

# **MBA UPGRADES – A COMPETITIVE LANDSCAPE**

#### **ESRF** (France)

 ESRF is shut down as of the end of 2018 for an MBA upgrade and will resume operation in 2020.

#### MAX-IV (Sweden)

 A new (green field site) 3 GeV MBA lattice-based storage ring that is currently operational

#### SIRIUS (Brazil)

 A new (green field site) 3 GeV MBA lattice-based storage ring beginning commissioning in 2019 (?) and operational in 2020.

#### SPRING-8 (Japan)

Planning an MBA upgrading in 2020's









#### NON-STORAGE RING COHERENT X-RAY SOURCES – X-RAY FREE ELECTRON LASERS (XFELS)

- Another way to reduce the particle beam emittance is through linac-based x-ray free electron lasers (XFELs)
  - Full transverse (spatial) coherence and femtosecond pulses
- An x-ray FEL uses the high brightness of an electron gun coupled to an emittance-preserving linac. You don't have to deal with the equilibrium transverse and longitudinal beam size (length of pulse) that occurs in a storage ring so can have a very low emittance and very short pulses (femtoseconds).



Argonne

- The problem with a linac is you only use the electrons once, and so have to accelerate new electrons for each pulse. The acceleration process is what takes all the electrical power so a different paradigm is required. (In the storage ring you "recycle" the electrons after they have been accelerated up to energy and there is no further increase in particle beam energy as they go around.)
- That new paradigm is to generate "gain" in the electron beam to get more x-rays from a single bunch of electrons - gain is obtained through a process called Self-Amplified Spontaneous Emission or SASE.

#### SELF-AMPLIFIED SPONTANEOUS EMISSION – SASE

- In this process, an intense and highly collimated electron beam travels through an undulator magnet ( ≈ 100 meters) producing x-rays.
- If the synchrotron radiation is sufficiently intense (i.e., the undulator is long enough), the electron motion is modified by the E&M fields of its own emitted light.
- Under the influence of both the undulator magnet and its own synchrotron radiation, the electron beam forms micro-bunches, separated by a distance equal to the wavelength of the emitted radiation.

Linac Coherent Light Source (LCLS) at SLAC is an x-ray FEL using 1 km of the 3 km linac there to produce 15 Å to 1.5 Å beams.

Undulator ~~ Magnet Electron Bunch

he undulator hall

LCLS

# ENERGY MODULATION → DENSITY MODULATION (MICRO-BUNCHING)

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- If the synchrotron radiation is sufficiently intense (i.e., the undulator is long enough), the electron motion is modified by the E&M fields of its own emitted light.
- Under the influence of both the undulator magnet and its own synchrotron radiation, the electron beam forms micro-bunches, separated by a distance equal to the wavelength of the emitted radiation.

John Galayda, LCLS



Energy modulation  $\rightarrow$  density modulation



# EXPONENTIAL GROWTH OF INTENSITY (THIS IS THE "GAIN" IN THE SYSTEM)

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- These micro-bunches begin to radiate as if they were a "single particle" with immense charge – 10<sup>4</sup> the charge of a single electron.
- The lasing starts up from the random microbunching (i.e., shot noise) on the electron beam – this is called:

Self-Amplified Spontaneous Emission (SASE)

 The bunch length in LINACs can be very short resulting in **femtosecond** bursts of xrays.

See Appendix 5 for more details



The process reaches <u>saturation</u> when the micro-bunching process has gone as far as it can go.

#### HARD X-RAY FELS IN OPERATION / CONSTRUCTION











NC = normal conducting LINAC SC = superconducting LINAC



#### SUMMARY

- Synchrotron radiation continues to be an important tool for researchers across all scientific and engineering disciplines.
- There is a strong science case for a new generation of sources such as:
  - Low-emittance storage rings
  - X-ray free electron lasers
- Storage rings can produce partially coherent x-ray beams with megahertz repetition rates but with lower peak power.
  - The APS is working to incorporate a low emittance lattice into the proposed upgrade of the facility (APS-U) that will produce beams of high coherence at megahertz rates.
- FELs can provide very short pulses (femtoseconds) of high peak intensity, coherent x-rays but the repetition rate is currently limited to hundreds of hertz.
  - Superconducting radio frequency linacs will increase that to MHz (LCLS II and the European XFEL).
- Both LCLS II, APS-U, and the upgrade for the Advanced Light Source at LBNL (ALS-U) will keep US x-ray facilities at the cutting edge to produce world class science in the years to come.

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# QUESTIONS?



#### APPENDIX 1A: RADIATED POWER FROM CHARGES AT RELATIVISTIC VELOCITIES

The classical formula for the radiated power from an accelerated electron is:

$$P = \frac{2e^2}{3c^3}a^2$$

Where P is the power and  $\alpha$  the acceleration. For a circular orbit of radius r, in the non-relativistic case,  $\alpha$  is just the centripetal acceleration, v<sup>2</sup>/r. In the relativistic case:

$$a = \frac{1}{m_o} \frac{dp}{d\tau} = \frac{1}{m_o} \gamma \frac{d\gamma m_o v}{dt} = \gamma^2 \frac{dv}{dt} = \gamma^2 \frac{v^2}{r}$$

Where  $\tau = t/\gamma = \text{proper time}$ ,  $\gamma = 1/\sqrt{1-\beta^2} = E/m_oc^2$  and  $\beta = v/c$ 

$$P = \frac{2e^2}{3c^3} \frac{\gamma^4 v^4}{r^2} = \frac{2ce^2}{3r^2} \frac{E^4}{m_o^4 c^8}$$



#### APPENDIX 1B: DEPENDENCE ON MASS AND ENERGY OF RADIATED POWER

$$P = \frac{2e^2}{3c^3} \frac{\gamma^4 v^4}{r^2} = \frac{2ce^2}{3r^2} \frac{E^4}{m_o^4 c^8}$$

There are two points about this equation for total radiated power:

- 1. Scales inversely with the mass of the particle to the 4<sup>th</sup> power (protons radiate considerably less than an e<sup>-</sup> with the same total energy, E.)
- 2. Scales with the 4<sup>th</sup> power of the particle's energy (a 7 GeV storage ring radiates 2400 times more power than a 1 GeV ring with the same radius)



#### **APPENDIX 2: BM SPECTRAL DISTRIBUTION**

The spectral/angular distribution of "synchrotron radiation" was worked out by J. Schwinger in 1949. Schwinger found the spectral distribution from an accelerating particle, under the influence of a constant magnetic field, was a smoothly varying function of photon energy and that the spectrum could be parameterized by a <u>critical energy</u>,  $E_c$ .

 $E_c = 3hc\gamma^3/4\pi r.$ 

Here h is Planck's constant and r the radius of curvature of the trajectory. Note that the critical energy scales as  $\gamma^3$ . In practical units, the critical energy can be written as:

 $E_c[keV] = 2.218 E^3[GeV] / \rho[m] = 0.06651 B[kG] E^2[GeV]$ 

At the APS the bending magnets have a field strength of 5.99 kilogauss and the ring operates at E = 7 GeV. The critical energy of the radiation emitted from the BM is:  $E_c[keV] = 0.06651 B[kG] E^2[GeV]$ 

or

 $E_c = 0.06651(5.990)(7^2) = 19.5 \text{ keV} \text{ or } 0.64 \text{ Å}.$ 



#### **APPENDIX 3: WHERE DID "K" COME FROM?**

$$F_{x} = ma_{x} = \gamma m_{0} \dot{v}_{x} = e\vec{v} \times \vec{B} = ecB_{0} \sin\left(\frac{2\pi z}{\lambda_{TD}}\right)$$

Equation of motion for a relativistic charged particle in a magnetic field

$$\begin{split} \dot{v}_{x} &= \frac{ecB_{0}}{\gamma m_{0}} \sin\left(\frac{2\pi z}{\lambda_{D}}\right) \quad z = ct \\ v_{x} &= -\frac{ecB_{0}}{\gamma m_{0}} \frac{\lambda_{D}}{2\pi c} \cos\left(\frac{2\pi ct}{\lambda_{D}}\right) = -\frac{eB_{0}}{\gamma m_{0}} \frac{\lambda_{D}}{2\pi} \cos\left(\frac{2\pi ct}{\lambda_{D}}\right) \\ x &= \frac{eB_{0}}{\gamma m_{0}c} \left[\frac{\lambda_{D}}{2\pi}\right]^{2} \sin\left(\frac{2\pi ct}{\lambda_{D}}\right) = \left[\frac{eB_{0}}{m_{0}} \frac{\lambda_{D}}{2\pi c}\right] \frac{1}{\gamma} \left[\frac{\lambda_{D}}{2\pi}\right] \sin\left(\frac{2\pi z}{\lambda_{D}}\right) = K \frac{1}{\gamma} \left[\frac{\lambda_{D}}{2\pi}\right] \sin\left(\frac{2\pi z}{\lambda_{D}}\right) \end{split}$$

$$x_{\max} = K \frac{1}{\gamma} \left[ \frac{\lambda_{TD}}{2\pi} \right]$$
 and  $\left[ \frac{dx}{dz} \right]_{\max} = \frac{K}{\gamma}$  where  $K = \left[ \frac{eB_0}{2\pi} \frac{\lambda_{TD}}{m_0 c} \right]$ 

Magnetic field in ydirection and electron traveling in z-direction





#### **APPENDIX 4: FINITE X-RAY BEAM EMITTANCE**

• The Heisenberg Uncertainty Principle sets a lower limit for the product of the size  $\Delta x$  ( $\Delta y$ ), and angular divergence  $\Delta x'$  ( $\Delta y'$ ), of radiation. Recall:



For radiation from relativistic electrons, x'(y') is proportional to  $P_x$  (small angle approximation).

$$\Delta x \Delta p_x \ge \hbar/2$$

$$\frac{p_x}{p_z} = x' \text{ or } \frac{\Delta p_x}{p_z} = \Delta x' \text{ and } p_z = \hbar k = \frac{\hbar(2\pi)}{\lambda}$$

$$so: \Delta x \Delta p_x = \Delta x \Delta x' p_z = \Delta x \Delta x' [\frac{\hbar(2\pi)}{\lambda}] \ge \hbar/2$$

$$\Delta x \Delta x' \ge \lambda/4\pi$$

This says, for a given wavelength λ, the product of its size and divergence cannot be is less than λ/4π. When the product is ≈ λ/4π in both the x and y directions, the radiation is fully coherent and the source is said to be diffraction limited.



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#### APPENDIX 5: THE SASE PROCESS

 The lasing starts up from the random micro-bunching (i.e., shot noise) on the electron beam instead of being coherently produced by an input "seed" source.



